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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Srivastava

Confirmation No.: 8419

Application No.: 09/693,643

Group Art Unit: 1645

Filed: October 20, 2000

Examiner: Navarro, A.M.

For: USING HEAT SHOCK PROTEINS TO  
INCREASE AN IMMUNE RESPONSE

Attorney Docket No.: 8449-073-999

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 and § 1.97**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Applicant hereby directs the Examiner's attention to the references listed on the attached revised form PTO-1449 entitled "List of References Cited by Applicant."

Identification of the listed references is not to be construed an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Applicant submits herewith several pages of a "revised form PTO-1449" entitled "List of References Cited by Applicant". Legible copies of References AA-CO are being submitted herewith. For References AK-AO which are pending patent applications, copies of the applications as filed and claims as pending are enclosed.

Applicant respectfully requests that the Examiner review the foregoing references and that the references be made of record in the file history of the application. While not to be construed as indicating that the Examiner should not review and consider fully all the listed references, Attorneys for Applicant particularly direct the Examiner's attention to references AK, AL, AM, AN, AO, AQ, BE and BR.

Pursuant to 37 C.F.R. § 1.97(b)(3), since this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, the fee required to be filed with the accompanying Information Disclosure Statement has been estimated to be \$0.00. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date: June 21, 2002

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Enclosure